



DFW

S&amp;H Form: (02/05)

<b>REPLY/AMENDMENT FEE TRANSMITTAL</b>	Attorney Docket No.	826.1928	
	Application Number	10/787,137	
	Filing Date	February 27, 2004	
	First Named Inventor	Yutaka KAI et al.	
	Group Art Unit	2613	
AMOUNT ENCLOSED	0.00	Examiner Name	Thi Q. Le

**FEE CALCULATION (fees effective 12/08/04)**

CLAIMS AS AMENDED	Claims Remaining After Amendment	Highest Number Previously Paid For	Number Extra	Rate	Calculations
TOTAL CLAIMS	12	- 31 =	0	X \$ 50.00 =	\$ 0.00
INDEPENDENT CLAIMS	4	- 12 =	0	X \$ 200.00 =	0.00

Since an Official Action set an original due date of September 07, 2007, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$120)); (2 months (\$450)); (3 months (\$1,020)); (4 months (\$1,590)); (5 months (\$2,160)):

If Notice of Appeal is enclosed, add (\$500.00)

If Statutory Disclaimer under Rule 20(d) is enclosed, add fee (\$130.00)

Information Disclosure Statement (Rule 1.17(p)) (\$180.00)

Total of above Calculations = \$ 0.00

Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27 & 1.28)

**TOTAL FEES DUE = \$ 0.00**

(1) If entry (1) is less than entry (2), entry (3) is "0".

(2) If entry (2) is less than 20, change entry (2) to "20".

(4) If entry (4) is less than entry (5), entry (6) is "0".

(5) If entry (5) is less than 3, change entry (5) to "3".

**METHOD OF PAYMENT**

- ☐ Check enclosed as payment.
- ☐ Charge "TOTAL FEES DUE" to the Deposit Account No. below.
- ☒ No payment is enclosed.

**GENERAL AUTHORIZATION**

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:
- Deposit Account No. 19-3935
- Deposit Account Name STAAS & HALSEY LLP
- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC § 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS & HALSEY LLP

Typed Name	Joseph W. Iskra	Reg. No.	57,485
Signature		Date	September 7, 2007



Docket No.: 826.1928

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

Yutaka KAI et al.

Serial No. 10/787,137

Group Art Unit: 2613

Confirmation No. 4648

Filed: February 27, 2004

Examiner: Thi Q. Le

For: OPTICAL COMMUNICATION SYSTEM

**AMENDMENT**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

This is in response to the Office Action mailed June 07, 2007, and having a period for response set to expire on September 07, 2007.

The following amendments and remarks are respectfully submitted. Reconsideration of the claims is respectfully requested.

**IN THE TITLE:**

Please REPLACE the title with the following:

--OPTICAL ADD/DROP MULTIPLEXER USING TUNABLE FILTER AND METHOD FOR  
FEEDBACK CONTROLLING THE TUNABLE FILTER--